## A hierarchy of non-hydrostatic models for free-surface flows

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## Outline



**2** Derivation of the hierarchy of models

### **3** Energy







## Literature about free-surface flows

Free-surface incompressible **Euler equations** 



## Literature about free-surface flows



A. Barré de Saint-Venant, Théorie du mouvement non permanent des eaux, avec application aux crues des rivières et à l'introduction des marées dans leurs lits (C. R. Acad. Sci. 73, 1871)

J.-F. Gerbeau, B. Perthame, Derivation of viscous Saint-Venant system for laminar shallow water; numerical validation (Discrete Contin. Dyn. Syst. Ser. B 1(1), 2001)

S. Ferrari, F. Saleri, A new two-dimensional Shallow Water model including pressure effects and slow varying bottom topography (Math. Model. Numer. Anal. 38(2), 2004)

F. Marche, Derivation of a new two-dimensional viscous shallow water model with varying topography, bottom friction and capillary effects (Eur. J. Mech. B Fluids 26(1), 2007)

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## Literature about free-surface flows



Peregrine '67, Madsen et al. '91 '96 '03 '06, Nwogu '93, Casulli et al. '95 '99, Yamazaki et al. '09, ...

## Literature about free-surface flows



- E. Audusse, M.-O. Bristeau, B. Perthame, J. Sainte-Marie, A multilayer Saint-Venant system with mass exchanges for Shallow Water flows. Derivation and numerical validation (Math. Model. Numer. Anal. 45(1), 2011)
- F. Bouchut, V. Zeitlin, A robust well-balanced scheme for multi-layer shallow water equations (Discrete Contin. Dyn. Syst. Ser. B 13(4), 2010)
- E.D. Fernández-Nieto, E.H. Koné, T. Morales de Luna, R. Bürger, A multilayer shallow water system for polydisperse sedimentation (J. Comput. Phys. 238, 2013)
- Castro et al. '01 '04 '10, Narbona et al. '09 '13, Rambaud '11, ...

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## Literature about free-surface flows



#### Derivation of multilayer non-hydrostatic models

 M. Zijlema, G.S. Stelling, Further experiences with computing non-hydrostatic free-surface flows involving water waves (Int. J. Numer. Methods Fluids 48(2), 2005)

Y. Bai, K.F. Cheung, Dispersion and nonlinearity of multi-layer non-hydrostatic free-surface flow (J. Fluid Mech. 726, 2013)

## Fluid domain



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## **Euler equations**

#### Model

$$\begin{cases} \partial_x u + \partial_z w = 0\\ \partial_t u + \partial_x (u^2 + p) + \partial_z (uw) = 0\\ \partial_t w + \partial_x (uw) + \partial_z (w^2 + p) = -g \end{cases}$$

set in the domain  $\Omega(t) = \left\{ (x,z) \in \mathbb{R}^2 \mid z_b(x) \leq z \leq \eta(t,x) 
ight\}$ 

#### **Boundary conditions**

$$\partial_t \eta(t, x) + u(t, x, \eta(t, x)) \partial_x \eta(t, x) - w(t, x, \eta(t, x)) = 0$$
$$p(t, x, \eta(t, x)) = p^{atm}(t, x)$$
$$u(t, x, z_b(x)) z'_b(x) - w(t, x, z_b(x)) = 0$$

together with well-prepared initial conditions

**Pressure fields** 
$$p(t, x, z) = p^{atm}(t, x) + g(\eta(t, x) - z) + q(t, x, z)$$

## **Euler equations**

Model

$$\begin{cases} \partial_x u + \partial_z w = 0\\ \partial_t u + \partial_x (u^2 + q) + \partial_z (uw) = -\partial_x (g\eta + p^{atm})\\ \partial_t w + \partial_x (uw) + \partial_z (w^2 + q) = 0 \end{cases}$$

set in the domain  $\Omega(t) = \left\{ (x,z) \in \mathbb{R}^2 \mid z_b(x) \leq z \leq \eta(t,x) 
ight\}$ 

#### **Boundary conditions**

$$\partial_t \eta(t, x) + u(t, x, \eta(t, x)) \partial_x \eta(t, x) - w(t, x, \eta(t, x)) = 0$$
$$q(t, x, \eta(t, x)) = 0$$
$$u(t, x, z_b(x)) z'_b(x) - w(t, x, z_b(x)) = 0$$

together with well-prepared initial conditions



Height decomposition:  $h_{\alpha}(t,x) = \ell_{\alpha}H(t,x)$  with  $\ell_{\alpha} \in (0,1)$  and  $\sum_{\alpha=1}^{L} \ell_{\alpha} = 1$ 

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Homogeneous mesh:  $\ell_{\alpha} = \frac{1}{I}$ 

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Notations

$$\llbracket f \rrbracket_{\alpha+1/2} = f_{\alpha+1/2}^+ - f_{\alpha+1/2}^-, \quad \widetilde{f}_{\alpha+1/2} = \gamma_{\alpha+1/2} f_{\alpha+1/2}^- + (1 - \gamma_{\alpha+1/2}) f_{\alpha+1/2}^+$$

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Notations

$$\langle f \rangle_{\alpha}(t,x) = \frac{1}{h_{\alpha}(t,x)} \int_{z_{\alpha-1/2}(t,x)}^{z_{\alpha+1/2}(t,x)} f(t,x,z) \,\mathrm{d}z$$

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Notations

$$\mathbf{n}_{\alpha+1/2} = (-\partial_x z_{\alpha+1/2}, 1)^T$$

## **Discontinuous Galerkin framework**

Let us be given a velocity field satisfying

$$\llbracket u \rrbracket_{\alpha+1/2} \cdot \mathbf{n}_{\alpha+1/2} = 0 \iff \llbracket w \rrbracket_{\alpha+1/2} = \llbracket u \rrbracket_{\alpha+1/2} \partial_x z_{\alpha+1/2}$$

Toy model

$$\partial_t \mathscr{R} + \partial_x (u \mathscr{R} + \mathscr{P}) + \partial_z (w \mathscr{R} + \mathscr{Q}) = \mathscr{S}$$
(1)

where  $\mathscr{R}, \mathscr{P}, \mathscr{Q}$  and  $\mathscr{S}$  take values in  $\mathbb{R}^p$ 

Semi-discrete formulation over each layer  $\mathcal{L}_{\alpha} = (z_{\alpha+1/2}, z_{\alpha-1/2})$ 

$$\partial_t (h_\alpha \overline{\mathscr{R}}_\alpha) + \partial_x (h_\alpha [\overline{u\mathscr{R}}_\alpha + \overline{\mathscr{P}}_\alpha]) + \mathscr{F}^{\mathscr{R}}_{\alpha+1/2} - \mathscr{F}^{\mathscr{R}}_{\alpha-1/2} = h_\alpha \overline{\mathscr{F}}_\alpha$$

where

$$\mathscr{F}_{\alpha+1/2}^{\mathscr{R}} = \Upsilon_{\alpha+1/2} \widetilde{\mathscr{R}}_{\alpha+1/2} - \widetilde{\mathscr{P}}_{\alpha+1/2} \partial_{x} z_{\alpha+1/2} + \widetilde{\mathscr{Q}}_{\alpha+1/2}$$
$$\Upsilon_{\alpha+1/2} = \widetilde{w}_{\alpha+1/2} - \partial_{t} z_{\alpha+1/2} - \widetilde{u}_{\alpha+1/2} \partial_{x} z_{\alpha+1/2}$$

## **Discontinuous Galerkin framework**

Let us be given a velocity field satisfying

$$\llbracket \mathbf{u} \rrbracket_{\alpha+1/2} \cdot \mathbf{n}_{\alpha+1/2} = \mathbf{0} \iff \llbracket \mathbf{w} \rrbracket_{\alpha+1/2} = \llbracket \mathbf{u} \rrbracket_{\alpha+1/2} \partial_{\mathbf{x}} \mathbf{z}_{\alpha+1/2}$$

Toy model

$$\partial_t \mathscr{R} + \partial_x (u \mathscr{R} + \mathscr{P}) + \partial_z (w \mathscr{R} + \mathscr{Q}) = \mathscr{S}$$
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Semi-discrete formulation over each layer  $\mathcal{L}_{\alpha} = (z_{\alpha+1/2}, z_{\alpha-1/2})$ 

$$\partial_t(h_lpha\overline{\mathscr{R}}_lpha) + \partial_x(h_lpha[\overline{u\mathscr{R}}_lpha+\overline{\mathscr{P}}_lpha]) + \mathscr{F}^{\mathscr{R}}_{lpha+1/2} - \mathscr{F}^{\mathscr{R}}_{lpha-1/2} = h_lpha\overline{\mathscr{S}}_lpha$$

where

$$\mathscr{F}_{\alpha+1/2}^{\mathscr{R}} = \Upsilon_{\alpha+1/2} \widetilde{\mathscr{R}}_{\alpha+1/2} - \widetilde{\mathscr{P}}_{\alpha+1/2} \partial_{x} z_{\alpha+1/2} + \widetilde{\mathscr{Q}}_{\alpha+1/2}$$
$$\Upsilon_{\alpha+1/2} = w_{\alpha+1/2}^{-} - \partial_{t} z_{\alpha+1/2} - u_{\alpha+1/2}^{-} \partial_{x} z_{\alpha+1/2}$$

## **Discontinuous Galerkin framework**

Let us be given a velocity field satisfying

$$\llbracket \mathbf{u} \rrbracket_{\alpha+1/2} \cdot \mathbf{n}_{\alpha+1/2} = 0 \iff \llbracket w \rrbracket_{\alpha+1/2} = \llbracket u \rrbracket_{\alpha+1/2} \partial_x z_{\alpha+1/2}$$

Toy model

$$\partial_t \mathscr{R} + \partial_x (u \mathscr{R} + \mathscr{P}) + \partial_z (w \mathscr{R} + \mathscr{Q}) = \mathscr{S}$$
(1)

where  $\mathscr{R}$ ,  $\mathscr{P}$ ,  $\mathscr{Q}$  and  $\mathscr{S}$  take values in  $\mathbb{R}^p$ 

Semi-discrete formulation over each layer  $\mathcal{L}_{\alpha} = (z_{\alpha+1/2}, z_{\alpha-1/2})$ 

$$\partial_t (h_\alpha \overline{\mathscr{R}}_\alpha) + \partial_x (h_\alpha [\overline{u\mathscr{R}}_\alpha + \overline{\mathscr{P}}_\alpha]) + \mathscr{F}^{\mathscr{R}}_{\alpha+1/2} - \mathscr{F}^{\mathscr{R}}_{\alpha-1/2} = h_\alpha \overline{\mathscr{F}}_\alpha$$

where

$$\mathscr{F}_{\alpha+1/2}^{\mathscr{R}} = \Upsilon_{\alpha+1/2} \widetilde{\mathscr{R}}_{\alpha+1/2} - \widetilde{\mathscr{P}}_{\alpha+1/2} \partial_{x} z_{\alpha+1/2} + \widetilde{\mathscr{Q}}_{\alpha+1/2}$$
$$\Upsilon_{\alpha+1/2} = w_{\alpha+1/2}^{+} - \partial_{t} z_{\alpha+1/2} - u_{\alpha+1/2}^{+} \partial_{x} z_{\alpha+1/2}$$

## Main idea

Discontinuities across layer interfaces allowed provided jump conditions

$$\partial_t \mathcal{Z}\llbracket \mathscr{R} \rrbracket_{z=\mathcal{Z}} + \partial_x \mathcal{Z}\llbracket u \mathscr{R} + \mathscr{P} \rrbracket_{z=\mathcal{Z}} - \llbracket w \mathscr{R} + \mathscr{Q} \rrbracket_{z=\mathcal{Z}} = 0$$

or equivalently

$$\Upsilon[\![\mathscr{R}]\!] - \partial_x \mathcal{Z}[\![\mathscr{P}]\!] + [\![\mathscr{Q}]\!] = 0$$

Integrating Eq. (1) over a layer  $\mathcal{L}_{\alpha}$  yields

$$\begin{split} h_{\alpha} \langle \mathscr{S} \rangle_{\alpha} &= \partial_{t} (h_{\alpha} \langle \mathscr{R} \rangle_{\alpha}) - \mathscr{R}_{\alpha+1/2}^{-} \partial_{t} z_{\alpha+1/2} + \mathscr{R}_{\alpha-1/2}^{+} \partial_{t} z_{\alpha-1/2} \\ &+ \partial_{x} (h_{\alpha} \langle u \mathscr{R} + \mathscr{P} \rangle_{\alpha}) - (u_{\alpha+1/2}^{-} \mathscr{R}_{\alpha+1/2}^{-} + \mathscr{P}_{\alpha+1/2}^{-}) \partial_{x} z_{\alpha+1/2} \\ &+ (u_{\alpha-1/2}^{+} \mathscr{R}_{\alpha-1/2}^{+} + \mathscr{P}_{\alpha-1/2}^{+}) \partial_{x} z_{\alpha-1/2} \\ &+ w_{\alpha+1/2}^{-} \mathscr{R}_{\alpha+1/2}^{-} + \mathscr{Q}_{\alpha+1/2}^{-} - w_{\alpha-1/2}^{+} \mathscr{R}_{\alpha-1/2}^{+} + \mathscr{Q}_{\alpha-1/2}^{+} \end{split}$$

## Main idea

Discontinuities across layer interfaces allowed provided jump conditions

$$\partial_t \mathcal{Z}\llbracket \mathscr{R} \rrbracket_{z=\mathcal{Z}} + \partial_x \mathcal{Z}\llbracket u \mathscr{R} + \mathscr{P} \rrbracket_{z=\mathcal{Z}} - \llbracket w \mathscr{R} + \mathscr{Q} \rrbracket_{z=\mathcal{Z}} = 0$$

or equivalently

$$\Upsilon[\![\mathscr{R}]\!] - \partial_{\mathsf{x}}\mathcal{Z}[\![\mathscr{P}]\!] + [\![\mathscr{Q}]\!] = 0$$

Integrating Eq. (1) over a layer  $\mathcal{L}_{\alpha}$  yields

$$egin{aligned} &h_{lpha}\langle\mathscr{S}
angle_{lpha} = \partial_t(h_{lpha}\langle\mathscr{R}
angle_{lpha}) + \partial_x(h_{lpha}\langle u\mathscr{R} + \mathscr{P}
angle_{lpha}) \ &+ \left[\mathscr{R}^-_{lpha+1/2}\Upsilon_{lpha+1/2} - \mathscr{P}^-_{lpha+1/2}\partial_x z_{lpha+1/2} + \mathscr{Q}^-_{lpha+1/2}
ight] \ &- \left[\mathscr{R}^+_{lpha-1/2}\Upsilon_{lpha-1/2} - \mathscr{P}^+_{lpha-1/2}\partial_x z_{lpha-1/2} + \mathscr{Q}^+_{lpha-1/2}
ight] \end{aligned}$$

## Main idea

Discontinuities across layer interfaces allowed provided jump conditions

$$\partial_t \mathcal{Z}\llbracket \mathscr{R} \rrbracket_{z=\mathcal{Z}} + \partial_x \mathcal{Z}\llbracket u \mathscr{R} + \mathscr{P} \rrbracket_{z=\mathcal{Z}} - \llbracket w \mathscr{R} + \mathscr{Q} \rrbracket_{z=\mathcal{Z}} = 0$$

or equivalently

$$\Upsilon[\![\mathscr{R}]\!] - \partial_x \mathcal{Z}[\![\mathscr{P}]\!] + [\![\mathscr{Q}]\!] = 0$$

Integrating Eq. (1) over a layer  $\mathcal{L}_{\alpha}$  yields

$$\begin{split} h_{\alpha} \langle \mathscr{S} \rangle_{\alpha} &= \partial_{t} (h_{\alpha} \langle \mathscr{R} \rangle_{\alpha}) + \partial_{x} (h_{\alpha} \langle u \mathscr{R} + \mathscr{P} \rangle_{\alpha}) \\ &+ \left[ \widetilde{\mathscr{R}}_{\alpha+1/2} \Upsilon_{\alpha+1/2} - \widetilde{\mathscr{P}}_{\alpha+1/2} \partial_{x} z_{\alpha+1/2} + \widetilde{\mathscr{Q}}_{\alpha+1/2} \right] \\ &- \left[ \widetilde{\mathscr{R}}_{\alpha-1/2} \Upsilon_{\alpha-1/2} - \widetilde{\mathscr{P}}_{\alpha-1/2} \partial_{x} z_{\alpha-1/2} + \widetilde{\mathscr{Q}}_{\alpha-1/2} \right] \end{split}$$

## **Spaces of approximation**



$$\begin{split} u(t,x) &= \sum_{\alpha=1}^{L} \overline{u}_{\alpha}(t,x) \mathbb{1}_{\{\mathcal{L}_{\alpha}(t,x)\}}(z) + \mathcal{E}_{L} \\ w(t,x) &= \sum_{\alpha=1}^{L} \left[ \overline{w}_{\alpha}(t,x) - (z - z_{\alpha}(t,x)) \partial_{x} \overline{u}_{\alpha}(t,x) \right] \mathbb{1}_{\{\mathcal{L}_{\alpha}(t,x)\}}(z) + \mathcal{E}_{L}' \end{split}$$

q continuous over the water column

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## Core of the models

Applying the previous semi-discretisation to the Euler equations leads to

$$\begin{cases} \partial_t h_{\alpha} + \partial_x (h_{\alpha} \overline{u}_{\alpha}) + \Upsilon_{\alpha+1/2} - \Upsilon_{\alpha-1/2} = 0\\ \partial_t (h_{\alpha} \overline{u}_{\alpha}) + \partial_x (h_{\alpha} \overline{u}_{\alpha}^2 + h_{\alpha} \overline{q}_{\alpha}) + \mathcal{U}_{\alpha+1/2} - \mathcal{U}_{\alpha-1/2} = -h_{\alpha} \partial_x (g\eta + p^{atm})\\ \partial_t (h_{\alpha} \overline{w}_{\alpha}) + \partial_x (h_{\alpha} \overline{u}_{\alpha} \overline{w}_{\alpha}) + \mathcal{W}_{\alpha+1/2} - \mathcal{W}_{\alpha-1/2} = 0 \end{cases}$$

with

$$\begin{cases} \mathcal{U}_{\alpha+1/2} = \widetilde{u}_{\alpha+1/2} \Upsilon_{\alpha+1/2} - \partial_x z_{\alpha+1/2} q_{\alpha+1/2} \\ \mathcal{W}_{\alpha+1/2} = \widetilde{w}_{\alpha+1/2} \Upsilon_{\alpha+1/2} + q_{\alpha+1/2} \end{cases}$$

and

$$\Upsilon_{\alpha+1/2} = \widetilde{w}_{\alpha+1/2} - \partial_t z_{\alpha+1/2} - \widetilde{u}_{\alpha+1/2} \partial_x z_{\alpha+1/2}$$

## Core of the models

Applying the previous semi-discretisation to the Euler equations leads to

$$\begin{cases} \partial_t h_{\alpha} + \partial_x (h_{\alpha} \overline{u}_{\alpha}) + \Upsilon_{\alpha+1/2} - \Upsilon_{\alpha-1/2} = 0\\ \partial_t (h_{\alpha} \overline{u}_{\alpha}) + \partial_x (h_{\alpha} \overline{u}_{\alpha}^2 + h_{\alpha} \overline{q}_{\alpha}) + \mathcal{U}_{\alpha+1/2} - \mathcal{U}_{\alpha-1/2} = -h_{\alpha} \partial_x (g\eta + p^{atm})\\ \partial_t (h_{\alpha} \overline{w}_{\alpha}) + \partial_x (h_{\alpha} \overline{u}_{\alpha} \overline{w}_{\alpha}) + \mathcal{W}_{\alpha+1/2} - \mathcal{W}_{\alpha-1/2} = 0 \end{cases}$$

with

$$\begin{cases} \mathcal{U}_{\alpha+1/2} = \widetilde{u}_{\alpha+1/2} \Upsilon_{\alpha+1/2} - \partial_{x} z_{\alpha+1/2} q_{\alpha+1/2} \\ \mathcal{W}_{\alpha+1/2} = \widetilde{w}_{\alpha+1/2} \Upsilon_{\alpha+1/2} + q_{\alpha+1/2} \end{cases}$$

and

$$\Upsilon_{\alpha+1/2} = \sum_{\beta=\alpha+1}^{L} \partial_x \left( h_\beta \left[ \overline{u}_\beta - \overline{\overline{u}} \right] \right) \quad \text{where} \quad \overline{\overline{u}} = \sum_{\alpha=1}^{L} \ell_\alpha \overline{u}_\alpha$$

## Core of the models

Applying the previous semi-discretisation to the Euler equations leads to

$$\begin{cases} \partial_t H + \partial_x \left( H \overline{u} \right) = 0 \\ \partial_t (h_\alpha \overline{u}_\alpha) + \partial_x \left( h_\alpha \overline{u}_\alpha^2 + h_\alpha \overline{q}_\alpha \right) + \mathcal{U}_{\alpha+1/2} - \mathcal{U}_{\alpha-1/2} = -h_\alpha \partial_x (g\eta + p^{\mathfrak{stm}}) \\ \partial_t (h_\alpha \overline{w}_\alpha) + \partial_x \left( h_\alpha \overline{u}_\alpha \overline{w}_\alpha \right) + \mathcal{W}_{\alpha+1/2} - \mathcal{W}_{\alpha-1/2} = 0 \end{cases}$$

with

$$\begin{cases} \mathcal{U}_{\alpha+1/2} = \widetilde{u}_{\alpha+1/2} \Upsilon_{\alpha+1/2} - \partial_{x} z_{\alpha+1/2} q_{\alpha+1/2} \\ \mathcal{W}_{\alpha+1/2} = \widetilde{w}_{\alpha+1/2} \Upsilon_{\alpha+1/2} + q_{\alpha+1/2} \end{cases}$$

and

$$\Upsilon_{\alpha+1/2} = \sum_{\beta=\alpha+1}^{L} \partial_x \left( h_\beta \left[ \overline{u}_\beta - \overline{\overline{u}} \right] \right) \quad \text{where} \quad \overline{\overline{u}} = \sum_{\alpha=1}^{L} \ell_\alpha \overline{u}_\alpha$$

## Requirements for the $\mathbb{P}_1$ choice

#### **Additional equation**

$$\partial_t(zw) + \partial_x(zuw) + \partial_z(z(w^2 + q)) = w^2 + q.$$

which is discretised as

$$\partial_t (h_\alpha \langle zw \rangle_\alpha) + \partial_x (h_\alpha \overline{u}_\alpha \langle zw \rangle_\alpha) + \mathscr{F}^{zw}_{\alpha+1/2} - \mathscr{F}^{zw}_{\alpha-1/2} = h_\alpha \langle w^2 + q \rangle_\alpha$$



## Requirements for the $\mathbb{P}_1$ choice ( $\mathcal{S}_1$ model)

#### **Additional equation**

$$\partial_t(zw) + \partial_x(zuw) + \partial_z(z(w^2 + q)) = w^2 + q.$$

which is discretised as

$$\partial_t (h_{\alpha} \langle z w \rangle_{\alpha}) + \partial_x (h_{\alpha} \overline{u}_{\alpha} \langle z w \rangle_{\alpha}) + \mathscr{F}^{z w}_{\alpha+1/2} - \mathscr{F}^{z w}_{\alpha-1/2} = h_{\alpha} \langle w^2 + q \rangle_{\alpha}$$

Let us introduce the signed standard deviation  $\sigma_{\alpha}=-\frac{h_{\alpha}\partial_{x}\overline{u}_{\alpha}}{2\sqrt{3}}$  such that

$$\langle w^2 \rangle_{\alpha} = \overline{w}_{\alpha}^2 + \sigma_{\alpha}^2, \qquad \langle zw \rangle_{\alpha} = z_{\alpha}\overline{w}_{\alpha} + \frac{h_{\alpha}\sigma_{\alpha}}{2\sqrt{3}}$$

## Requirements for the $\mathbb{P}_1$ choice ( $\mathcal{S}_1$ model)

#### **Additional equation**

$$\partial_t(zw) + \partial_x(zuw) + \partial_z(z(w^2+q)) = w^2 + q.$$

which is discretised as

$$\partial_t (h_\alpha \langle zw \rangle_\alpha) + \partial_x (h_\alpha \overline{u}_\alpha \langle zw \rangle_\alpha) + \mathscr{F}^{zw}_{\alpha+1/2} - \mathscr{F}^{zw}_{\alpha-1/2} = h_\alpha \langle w^2 + q \rangle_\alpha$$

Then

$$\begin{split} \partial_t (h_\alpha z_\alpha \overline{w}_\alpha) &+ \partial_x (h_\alpha z_\alpha \overline{u}_\alpha \overline{w}_\alpha) + \partial_t \left(\frac{h_\alpha^2 \sigma_\alpha}{2\sqrt{3}}\right) + \partial_x \left(\frac{h_\alpha^2 \sigma_\alpha \overline{u}_\alpha}{2\sqrt{3}}\right) \\ &+ z_{\alpha+1/2} (\widetilde{w}_{\alpha+1/2} \Upsilon_{\alpha+1/2} + q_{\alpha+1/2}) - z_{\alpha-1/2} (\widetilde{w}_{\alpha-1/2} \Upsilon_{\alpha-1/2} + q_{\alpha-1/2}) \\ &= h_\alpha \left(\overline{w}_\alpha^2 + \sigma_\alpha^2 + \overline{q}_\alpha\right) \end{split}$$

## Requirements for the $\mathbb{P}_1$ choice ( $\mathcal{S}_1$ model)

#### **Additional equation**

$$\partial_t(zw) + \partial_x(zuw) + \partial_z(z(w^2+q)) = w^2 + q.$$

which is discretised as

$$\partial_t (h_\alpha \langle zw \rangle_\alpha) + \partial_x (h_\alpha \overline{u}_\alpha \langle zw \rangle_\alpha) + \mathscr{F}^{zw}_{\alpha+1/2} - \mathscr{F}^{zw}_{\alpha-1/2} = h_\alpha \langle w^2 + q \rangle_\alpha$$

Then

$$\begin{split} \partial_t(h_\alpha \sigma_\alpha) + \partial_x(h_\alpha \sigma_\alpha \overline{u}_\alpha) &= 2\sqrt{3} \left[ \overline{q}_\alpha - \frac{q_{\alpha+1/2} + q_{\alpha-1/2}}{2} \\ &- \Upsilon_{\alpha+1/2} \left( \frac{h_\alpha \partial_x \overline{u}_\alpha}{12} + \frac{\widetilde{w}_{\alpha+1/2} - \overline{w}_\alpha}{2} \right) \\ &+ \Upsilon_{\alpha-1/2} \left( \frac{h_\alpha \partial_x \overline{u}_\alpha}{12} + \frac{\overline{w}_\alpha - \widetilde{w}_{\alpha-1/2}}{2} \right) \right] \end{split}$$

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## Requirements for the $\mathbb{P}_1$ choice ( $\mathcal{S}_{1/2}$ model)

#### **Additional equation**

$$\partial_t(zw) + \partial_x(zuw) + \partial_z(z(w^2 + q)) = w^2 + q.$$

which is discretised as

$$\partial_t (h_\alpha \langle zw \rangle_\alpha) + \partial_x (h_\alpha \overline{u}_\alpha \langle zw \rangle_\alpha) + \mathscr{F}^{zw}_{\alpha+1/2} - \mathscr{F}^{zw}_{\alpha-1/2} = h_\alpha \langle w^2 + q \rangle_\alpha$$

Rather using a Hermitte interpolation leads to

$$zw_{|\mathcal{L}_{\alpha}} \approx z_{\alpha}\overline{w}_{\alpha} + (z - z_{\alpha})(\overline{w}_{\alpha} - z_{\alpha}\partial_{x}\overline{u}_{\alpha}), \qquad w_{|\mathcal{L}_{\alpha}}^{2} \approx \overline{w}_{\alpha}^{2} - 2(z - z_{\alpha})\overline{w}_{\alpha}\partial_{x}\overline{u}_{\alpha}$$

## Requirements for the $\mathbb{P}_1$ choice ( $\mathcal{S}_{1/2}$ model)

#### **Additional equation**

$$\partial_t(zw) + \partial_x(zuw) + \partial_z(z(w^2 + q)) = w^2 + q.$$

which is discretised as

$$\partial_t (h_\alpha \langle zw \rangle_\alpha) + \partial_x (h_\alpha \overline{u}_\alpha \langle zw \rangle_\alpha) + \mathscr{F}^{zw}_{\alpha+1/2} - \mathscr{F}^{zw}_{\alpha-1/2} = h_\alpha \langle w^2 + q \rangle_\alpha$$

Then

$$\begin{aligned} \partial_t (h_\alpha z_\alpha \overline{w}_\alpha) + \partial_x (h_\alpha z_\alpha \overline{u}_\alpha \overline{w}_\alpha) + z_{\alpha+1/2} q_{\alpha+1/2} - z_{\alpha-1/2} q_{\alpha-1/2} \\ &+ \Upsilon_{\alpha+1/2} \left( z_{\alpha+1/2} \widetilde{w}_{\alpha+1/2} + \frac{H^2}{4L^2} \widetilde{(\partial_x \overline{u})}_{\alpha+1/2} \right) \\ &- \Upsilon_{\alpha-1/2} \left( z_{\alpha-1/2} \widetilde{w}_{\alpha-1/2} + \frac{H^2}{4L^2} \widetilde{(\partial_x \overline{u})}_{\alpha-1/2} \right) = h_\alpha \left( \overline{w}_\alpha^2 + \overline{q}_\alpha \right) \end{aligned}$$

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## Requirements for the $\mathbb{P}_1$ choice ( $\mathcal{S}_{1/2}$ model)

#### **Additional equation**

$$\partial_t(zw) + \partial_x(zuw) + \partial_z(z(w^2+q)) = w^2 + q.$$

which is discretised as

$$\partial_t (h_\alpha \langle z w \rangle_\alpha) + \partial_x (h_\alpha \overline{u}_\alpha \langle z w \rangle_\alpha) + \mathscr{F}^{z w}_{\alpha+1/2} - \mathscr{F}^{z w}_{\alpha-1/2} = h_\alpha \langle w^2 + q \rangle_\alpha$$

Then

$$\overline{q}_{\alpha} = \frac{q_{\alpha+1/2} + q_{\alpha-1/2}}{2} + \Upsilon_{\alpha+1/2} \left( \frac{H}{4L} \widetilde{(\partial_{x} \overline{u})}_{\alpha+1/2} + \frac{\widetilde{w}_{\alpha+1/2} - \overline{w}_{\alpha}}{2} \right) \\ - \Upsilon_{\alpha-1/2} \left( \frac{H}{4L} \widetilde{(\partial_{x} \overline{u})}_{\alpha-1/2} + \frac{\overline{w}_{\alpha} - \widetilde{w}_{\alpha-1/2}}{2} \right)$$



 $\sigma_{\alpha} = -\frac{h_{\alpha}\partial_{x}\overline{u}_{\alpha}}{2\sqrt{3}}$ 

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## $\mathcal{S}_1$ model

 $\partial_t H + \partial_x \left( H \overline{\overline{u}} \right) = 0$ 

$$\begin{aligned} \partial_t(h_\alpha \overline{u}_\alpha) &+ \partial_x \left( h_\alpha \overline{u}_\alpha^2 + h_\alpha \overline{q}_\alpha \right) + \mathcal{U}_{\alpha+1/2} - \mathcal{U}_{\alpha-1/2} = -h_\alpha \partial_x (g\eta + p^{atm}) \\ \partial_t(h_\alpha \overline{w}_\alpha) &+ \partial_x \left( h_\alpha \overline{u}_\alpha \overline{w}_\alpha \right) + \mathcal{W}_{\alpha+1/2} - \mathcal{W}_{\alpha-1/2} = 0 \\ \partial_t(h_\alpha \sigma_\alpha) &+ \partial_x (h_\alpha \sigma_\alpha \overline{u}_\alpha) = 2\sqrt{3} \left[ \overline{q}_\alpha - \frac{q_{\alpha+1/2} + q_{\alpha-1/2}}{2} \right. \\ &- \Upsilon_{\alpha+1/2} \left( \frac{h_\alpha \partial_x \overline{u}_\alpha}{12} + \frac{\widetilde{w}_{\alpha+1/2} - \overline{w}_\alpha}{2} \right) + \Upsilon_{\alpha-1/2} \left( \frac{h_\alpha \partial_x \overline{u}_\alpha}{12} + \frac{\overline{w}_\alpha - \widetilde{w}_{\alpha-1/2}}{2} \right) \end{aligned}$$

$$egin{aligned} &\partial_x \overline{u}_lpha + rac{w_{lpha+1/2}^- \overline{w}_lpha}{h_lpha/2} = 0 \ &w_{lpha-1/2}^+ - \overline{u}_lpha \partial_x z_{lpha-1/2} + \sum_{eta=1}^{lpha-1} \partial_x (h_eta \overline{u}_eta) = 0 \end{aligned}$$

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## $\mathcal{S}_{1/2}$ model

 $\partial_t H + \partial_x \left( H \overline{\overline{u}} \right) = 0$ 

$$\begin{split} \partial_t (h_\alpha \overline{u}_\alpha) &+ \partial_x \left( h_\alpha \overline{u}_\alpha^2 + h_\alpha \overline{q}_\alpha \right) + \mathcal{U}_{\alpha+1/2} - \mathcal{U}_{\alpha-1/2} = -h_\alpha \partial_x (g\eta + \rho^{atm}) \\ \partial_t (h_\alpha \overline{w}_\alpha) &+ \partial_x \left( h_\alpha \overline{u}_\alpha \overline{w}_\alpha \right) + \mathcal{W}_{\alpha+1/2} - \mathcal{W}_{\alpha-1/2} = 0 \\ \overline{q}_\alpha &= \frac{q_{\alpha+1/2} + q_{\alpha-1/2}}{2} + \Upsilon_{\alpha+1/2} \left( \frac{H}{4L} \widetilde{(\partial_x \overline{u})}_{\alpha+1/2} + \frac{\widetilde{w}_{\alpha+1/2} - \overline{w}_\alpha}{2} \right) \\ &- \Upsilon_{\alpha-1/2} \left( \frac{H}{4L} \widetilde{(\partial_x \overline{u})}_{\alpha-1/2} + \frac{\overline{w}_\alpha - \widetilde{w}_{\alpha-1/2}}{2} \right) \end{split}$$

$$\partial_{x}\overline{u}_{\alpha} + \frac{w_{\alpha+1/2}^{-} - \overline{w}_{\alpha}}{h_{\alpha}/2} = 0 \qquad \qquad \sigma_{\alpha} = -\frac{h_{\alpha}\partial_{x}\overline{u}_{\alpha}}{2\sqrt{3}}$$
$$w_{\alpha-1/2}^{+} - \overline{u}_{\alpha}\partial_{x}z_{\alpha-1/2} + \sum_{\beta=1}^{\alpha-1}\partial_{x}(h_{\beta}\overline{u}_{\beta}) = 0$$

## $\mathcal{S}_0$ model

 $\partial_t H + \partial_x \left( H \overline{\overline{u}} \right) = 0$ 

$$\begin{aligned} \partial_t (h_\alpha \overline{u}_\alpha) &+ \partial_x \left( h_\alpha \overline{u}_\alpha^2 + h_\alpha \overline{q}_\alpha \right) + \mathcal{U}_{\alpha+1/2} - \mathcal{U}_{\alpha-1/2} = -h_\alpha \partial_x (g\eta + \rho^{atm}) \\ \partial_t (h_\alpha \overline{w}_\alpha) &+ \partial_x \left( h_\alpha \overline{u}_\alpha \overline{w}_\alpha \right) + \mathcal{W}_{\alpha+1/2} - \mathcal{W}_{\alpha-1/2} = 0 \\ \overline{q}_\alpha &= \frac{q_{\alpha+1/2} + q_{\alpha-1/2}}{2} \end{aligned}$$

$$\overline{w}_lpha - \overline{u}_lpha \partial_x z_lpha + \sum_{eta=1}^{lpha-1} \partial_x (h_eta \overline{u}_eta) + rac{1}{2} \partial_x (h_lpha \overline{u}_lpha) = 0$$

## **Energy inequality**

Denoting  $\mathcal{K} = \frac{u^2 + w^2}{2}$ , smooth solutions to the Euler equations satisfy

$$\begin{split} \partial_t \left( \int_{z_b}^{\eta} \left( \mathcal{K} + g \frac{\eta + z_b}{2} + p^{atm} \right) \, \mathrm{d}z \right) \\ &+ \partial_x \left( \int_{z_b}^{\eta} u(\mathcal{K} + q + g\eta + p^{atm}) \, \mathrm{d}z \right) = H \partial_t p^{atm}. \end{split}$$

#### Proposition

Let us assume that  $\left(\gamma_{\alpha+1/2} - \frac{1}{2}\right) \Upsilon_{\alpha+1/2} \ge 0$ . If  $(H, \overline{u}_{\alpha}, \overline{w}_{\alpha}, \overline{q}_{\alpha})$  are smooth solutions to the  $S_1$ -model, then with  $\overline{\mathcal{K}}_{\alpha} = \frac{\overline{u}_{\alpha}^2 + \overline{w}_{\alpha}^2 + \sigma_{\alpha}^2}{2}$ 

$$\partial_{t} \left[ \sum_{\alpha=1}^{L} h_{\alpha} \left( \overline{\mathcal{K}}_{\alpha} + g z_{\alpha} + p^{atm} \right) \right] \\ + \partial_{x} \left[ \sum_{\alpha=1}^{L} h_{\alpha} \overline{u}_{\alpha} \left( \overline{\mathcal{K}}_{\alpha} + \overline{q}_{\alpha} + g \eta + p^{atm} \right) \right] \leq H \partial_{t} p^{atm}.$$

### Comment

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Constraint  $\left(\gamma_{\alpha+1/2}-\frac{1}{2}\right)\Upsilon_{\alpha+1/2}\geq 0$  is equivalent to taking

$$\gamma_{\alpha+1/2} = \frac{1}{2} \left( 1 + \lambda \operatorname{sign}(\Upsilon_{\alpha+1/2}) \right)$$

for any  $\lambda \geq 0$ , which gives

$$\widetilde{\mathscr{R}}_{\alpha+1/2}\Upsilon_{\alpha+1/2} = \frac{\mathscr{R}_{\alpha+1/2}^+ + \mathscr{R}_{\alpha+1/2}^-}{2}\Upsilon_{\alpha+1/2} - \frac{\lambda}{2}|\Upsilon_{\alpha+1/2}|\left(\mathscr{R}_{\alpha+1/2}^+ - \mathscr{R}_{\alpha+1/2}^-\right).$$

The energy inequality is satisfied in particular for  $\gamma_{\alpha+1/2} = \frac{1}{2} (\lambda = 0)$  and for  $\gamma_{\alpha+1/2} = \mathbb{1}_{\{\gamma_{\alpha+1/2} \ge 0\}} (\lambda = 1)$ .

## Hydrodynamic balances

#### Proposition

Let  $(H, \overline{u}_{\alpha}, \overline{w}_{\alpha}, q_{\alpha+1/2})$  be smooth solutions to the  $\mathcal{S}_1$  model. Then

The conservation of global volume:  $\partial_t \left( \int_{\mathbb{D}} H(t, x) \, dx \right) = 0$ 

**\*** The balance of horizontal momentum:

$$\partial_t \left( \int_{\mathbb{R}} H(t, x) \overline{\overline{u}}(t, x) \, \mathrm{d}x \right) \\ = -\int_{\mathbb{R}} \left[ H(t, x) \partial_x p^{atm}(t, x) + \left( gH(t, x) + q_{1/2}(t, x) \right) \partial_x z_b(x) \right] \mathrm{d}x$$

**\*** The balance of vertical momentum:

$$\partial_t \left( \int_{\mathbb{R}} H(t,x) \overline{\overline{w}}(t,x) \, \mathrm{d}x \right) = - \int_{\mathbb{R}} q_{1/2}(t,x) \, \mathrm{d}x$$

## **Dispersion relations**

Let us linearise around the so-called lake-at-rest steady state  $(H_0, 0, 0, 0)$ .

#### Proposition

There exists a plane wave solution  $(\hat{H}, \hat{u}_{\alpha}, \hat{w}_{\alpha}, \hat{q}_{\alpha}) e^{i(kx-\omega t)}$  to the linearised  $S_1$  system provided the following dispersion relation holds

$$\omega^2 = k^2 c_{sw}^2 \left\langle \mathcal{A}_{kH_0}^{-1} \mathbf{e}, \boldsymbol{\ell} \right\rangle$$

where  $c_{sw} = \sqrt{gH_0}$ ,  $\ell = (\ell_1, \dots, \ell_L) \in \mathbb{R}^L$ ,  $\mathbf{e} = (1, \dots, 1) \in \mathbb{R}^L$  and

$$\mathcal{A}_{x} = \mathcal{I}_{L} + x^{2}\mathcal{B}, \quad \text{with} \quad \mathcal{B}_{\alpha\beta} = -\frac{\ell_{\alpha}^{2}}{6}\delta_{\alpha\beta} + \ell_{\beta} \left[ \frac{\ell_{\max\{\alpha,\beta\}}}{2} + \sum_{\gamma=\max\{\alpha,\beta\}+1}^{L} \ell_{\gamma} \right]$$

## **Dispersion relations**

Let us linearise around the so-called lake-at-rest steady state  $(H_0, 0, 0, 0)$ .

#### Proposition

There exists a plane wave solution  $(\hat{H}, \hat{u}_{\alpha}, \hat{w}_{\alpha}, \hat{q}_{\alpha}) e^{i(kx-\omega t)}$  to the linearised  $S_0$  system provided the following dispersion relation holds

$$\omega^2 = k^2 c_{sw}^2 \left\langle \mathcal{A}_{kH_0}^{-1} \mathbf{e}, \boldsymbol{\ell} \right\rangle$$

where  $c_{sw} = \sqrt{gH_0}$ ,  $\ell = (\ell_1, \dots, \ell_L) \in \mathbb{R}^L$ ,  $\mathbf{e} = (1, \dots, 1) \in \mathbb{R}^L$  and

$$\mathcal{A}_{x} = \mathcal{I}_{L} + x^{2}\mathcal{B}, \quad \text{with} \quad \mathcal{B}_{\alpha\beta} = -\frac{\ell_{\alpha}^{2}}{4}\delta_{\alpha\beta} + \ell_{\beta} \left[ \frac{\ell_{\max\{\alpha,\beta\}}}{2} + \sum_{\gamma=\max\{\alpha,\beta\}+1}^{L} \ell_{\gamma} \right]$$

## **Phase velocity**



## Conclusion

#### **Summary**

- Derivation of a class of multilayer non-hydrostatic models as semi-discretisations of the Euler equations
- Proof of physical properties (energy, hydrodynamic balances, dispersive effects)

#### On-going works

- Convergence of the dispersion relation
- Numerical simulations
- Incorporation of viscous effects
- Enriching the physics

E. Fernández-Nieto, M. Parisot, Y. Penel & J. Sainte-Marie, *Layer-averaged approximations for inviscid flow models* (preprint).

# Thank you for your attention